

# Lee Metric Decoding and $\ell_1$ Norm Lattices

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# Context

- Post-quantum cryptography: codes, lattices, isogenies, multivariate polynomials.
- Within code-based crypto: Hamming, rank, and Lee metrics.

| Hamming Metric           | Lee Metric                      | $(\ell_2)$ Lattices       |
|--------------------------|---------------------------------|---------------------------|
| " $\ell_0$ -norm"        | $\ell_1$ -norm                  | $\ell_2$ -norm            |
| Information Set Decoding | $\leftarrow$ Both $\rightarrow$ | Lattice Reduction Methods |

## Lee Weight

For  $q, n \in \mathbb{N}$  and  $\mathbf{x} \in \mathbb{Z}_q^n$ , define the Lee weight to be

$$\text{wt}_L(\mathbf{x}) := \sum_{i=1}^n \min\{x_i, q - x_i\}.$$

## Lee Distance

For  $\mathbf{x}, \mathbf{y} \in \mathbb{Z}_q^n$ , define the Lee distance to be

$$\text{dist}_L(\mathbf{x}, \mathbf{y}) := \text{wt}_L(\mathbf{x} - \mathbf{y}).$$

## Minimum Lee Distance

Given a linear code  $\mathcal{C} \subseteq \mathbb{Z}_q^n$ , the minimum Lee distance of  $\mathcal{C}$  is

$$\begin{aligned}\text{dist}_L(\mathcal{C}) &:= \min\{\text{dist}_L(\mathbf{x}, \mathbf{y}) \mid \mathbf{x}, \mathbf{y} \in \mathcal{C}, \mathbf{x} \neq \mathbf{y}\} \\ &= \min\{\text{wt}_L(\mathbf{x}) \mid \mathbf{x} \in \mathcal{C}, \mathbf{x} \neq \mathbf{0}\}.\end{aligned}$$

## Lee Decoding Problem

Given a linear code  $\mathcal{C} \subseteq \mathbb{Z}_q^n$ , some  $t \in \mathbb{N}$ , and a vector  $\mathbf{r} \in \mathbb{Z}_q^n$ , find  $\mathbf{c} \in \mathcal{C}$  such that  $\text{wt}_L(\mathbf{r} - \mathbf{c}) \leq t$ .

## Lattice

Given  $k$  linearly independent vectors  $\mathbf{b}_1, \dots, \mathbf{b}_k \in \mathbb{R}^n$ , let  $\mathbf{B} = \begin{bmatrix} \mathbf{b}_1 & \cdots & \mathbf{b}_k \end{bmatrix}$ . The lattice generated by the lattice basis  $\mathbf{B}$  is given by

$$\mathcal{L}(\mathbf{B}) := \left\{ \sum_{i=1}^k x_i \mathbf{b}_i \mid x_i \in \mathbb{Z} \right\} = \mathbf{B} \cdot \mathbb{Z}^k.$$

## Distance from a Lattice

Let  $\mathcal{L} \subseteq \mathbb{R}^n$  be a lattice and  $p \geq 1$  or  $p = \infty$ . For  $\mathbf{v} \in \mathbb{R}^n$ , define

$$\text{dist}_p(\mathbf{v}, \mathcal{L}) := \min_{\mathbf{x} \in \mathcal{L}} \|\mathbf{v} - \mathbf{x}\|_p.$$

# First Successive Minima and $\alpha$ -BDD

## First Successive Minimum

Let  $p \geq 1$  or  $p = \infty$ . Given a lattice  $\mathcal{L}$ , let  $\lambda_1^{(p)}(\mathcal{L})$  be the length of the shortest nonzero vector in  $\mathcal{L}$  with respect to the  $\ell_p$  norm, i.e.,

$$\lambda_1^{(p)}(\mathcal{L}) := \min_{\mathbf{v} \in \mathcal{L} \setminus \{\mathbf{0}\}} \|\mathbf{v}\|_p.$$

## $\alpha$ -Bounded Distance Decoding ( $\alpha$ -BDD)

Given a lattice  $\mathcal{L} \subseteq \mathbb{R}^n$  and a vector  $\mathbf{r} \in \mathbb{R}^n$  such that  $\text{dist}_p(\mathbf{r}, \mathcal{L}) < \alpha \lambda_1^{(p)}(\mathcal{L})$ , find  $\mathbf{v} \in \mathcal{L}$  such that  $\|\mathbf{r} - \mathbf{v}\|_p$  is minimal.

## Construction A

Let  $\mathcal{C}$  be a linear code with generator matrix  $\mathbf{G} \in \mathbb{Z}_q^{k \times n}$ .

Define

$$\begin{aligned}\mathcal{L}_A(\mathcal{C}) &:= \{\mathbf{v} \in \mathbb{Z}^n \mid \mathbf{v} = \mathbf{c} \pmod{q} \text{ for some } \mathbf{c} \in \mathcal{C}\} \\ &= \mathbf{G}^\top \cdot \mathbb{Z}^k + q\mathbb{Z}^n.\end{aligned}$$

- Independent of choice of generator matrix.
- Lattice has dimension  $n$  (i.e., is full rank).
- A lattice basis can be extracted from the columns of

$$\begin{bmatrix} \mathbf{G}^\top & q \cdot \mathbf{I}_n \end{bmatrix}.$$

# Examples of Lee Metric Codes as Lattices

Basis of  $\mathcal{L}_A$  for  $q$  prime

If  $q$  is prime,  $\mathbf{G}$  can be written as  $\begin{bmatrix} \mathbf{I}_k & \mathbf{G}' \end{bmatrix}$  and  $\mathcal{L}_A(\mathcal{C})$  can be given by the basis

$$\begin{bmatrix} \mathbf{I}_k & \mathbf{0} \\ \mathbf{G}' & q\mathbf{I}_{n-k} \end{bmatrix}.$$

# Examples of Lee Metric Codes as Lattices

Basis of  $\mathcal{L}_A$  for  $q$  a power of a prime

If  $q = p^s$  is a power of a prime,  $\mathcal{C}$  is permutation equivalent to a some code  $\mathcal{C}'$  where we have a lattice basis for  $\mathcal{L}_A(\mathcal{C}')$  given by

$$\begin{bmatrix} \mathbf{I}_{k_0} & \mathbf{A}_{0,1} & \mathbf{A}_{0,2} & \cdots & \mathbf{A}_{0,s-1} & \mathbf{A}_{0,s} \\ 0 & p\mathbf{I}_{k_1} & p\mathbf{A}_{1,2} & \cdots & p\mathbf{A}_{1,s-1} & p\mathbf{A}_{1,s} \\ 0 & 0 & p^2\mathbf{I}_{k_2} & \cdots & p^2\mathbf{A}_{2,s-1} & p^2\mathbf{A}_{2,s} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & p^{s-1}\mathbf{I}_{k_{s-1}} & p^{s-1}\mathbf{A}_{s-1,s} \\ 0 & 0 & 0 & \cdots & 0 & p^s\mathbf{I}_{n-(k_0+\cdots+k_{s-1})} \end{bmatrix}^\top.$$

# Reduction from Lee Decoding Problem to $\alpha$ -BDD

## Proposition

$$\lambda_1^{(1)}(\mathcal{L}_A(\mathcal{C})) = \min\{q, \text{dist}_L(\mathcal{C})\}.$$

## Theorem

Given a code  $\mathcal{C} \subseteq \mathbb{Z}_q^n$  with minimum Lee distance  $d < q$  and a vector  $\mathbf{r} \in \mathbb{Z}_q^n$  where  $\text{dist}_L(\mathbf{r}, \mathbf{c}) < \alpha \cdot d$  for some  $\mathbf{c} \in \mathcal{C}$  and some  $\alpha \in (0, 1)$ , the LDP reduces to  $\ell_1$ -norm  $\alpha$ -BDD with lattice  $\mathcal{L}_A(\mathcal{C}) \subseteq \mathbb{R}^n$  and (integer) vector  $\mathbf{r} \in \mathbb{R}^n$ .

## Construction $A_{\text{sub}}$

Let  $\mathcal{C}$  be a linear code with generator matrix  $\mathbf{G} \in \mathbb{Z}_q^{k \times n}$ .

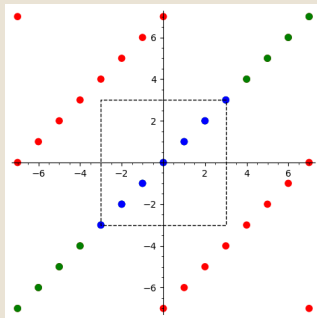
Define

$$\mathcal{L}_{A_{\text{sub}}}(\mathbf{G}) := \{\mathbf{G}^\top \cdot \mathbf{x} \in \mathbb{Z}^n \mid \mathbf{x} \in \mathbb{Z}^k\} = \mathbf{G}^\top \cdot \mathbb{Z}^k.$$

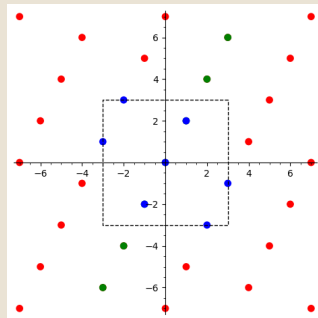
- Depends on choice of generator matrix.
- Lattice has dimension  $k$ .
- The lattice basis is simply  $\mathbf{G}^\top$  (but considered with entries in  $\mathbb{Z}$ ).
- $\mathcal{L}_{A_{\text{sub}}}(\mathbf{G}) \subseteq \mathcal{L}_A(\mathcal{C})$ .
- $\mathcal{C}$  is not necessarily wholly contained in  $\mathcal{L}_{A_{\text{sub}}}(\mathbf{G})$ .

# Examples of Construction $A_{\text{sub}}$ in 2 Dimensions

Simple Examples in  $\mathbb{Z}_7^2$



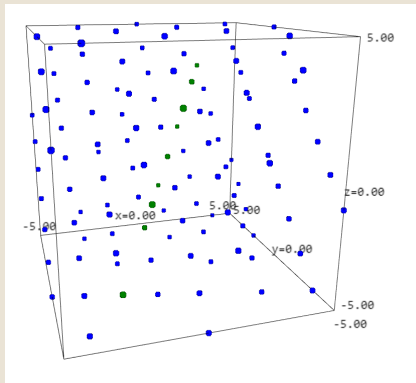
$$\mathcal{C} = \langle (1, 1) \rangle \subseteq \mathbb{Z}_7^2$$



$$\mathcal{C} = \langle (1, 2) \rangle \subseteq \mathbb{Z}_7^2$$

# Example of a Construction $A_{\text{sub}}$ in 3 Dimensions

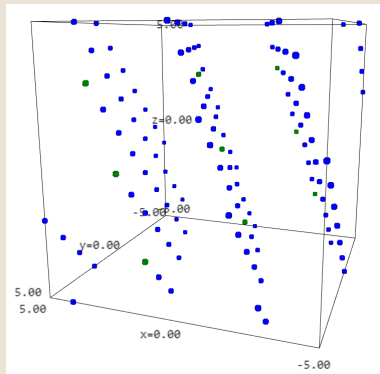
Less Simple Example in  $\mathbb{Z}_{11}^3$



$$C = \left\langle \begin{bmatrix} 1 & 0 & 3 \\ 2 & 5 & 0 \end{bmatrix} \right\rangle \subseteq \mathbb{Z}_{11}^3$$

# Example of a Construction $A_{\text{sub}}$ in 3 Dimensions

Less Simple Example in  $\mathbb{Z}_{11}^3$



$$\mathcal{C} = \left\langle \begin{bmatrix} 1 & 0 & 3 \\ 2 & 5 & 0 \end{bmatrix} \right\rangle \subseteq \mathbb{Z}_{11}^3$$

## Bounds on the Number of Codewords in $A_{\text{sub}}$

Note  $\mathcal{L}_{A_{\text{sub}}}(\mathbf{G}) \cap [-\lfloor q/2 \rfloor, \lfloor q/2 \rfloor]^n \subseteq \mathcal{C}$ . Using Blichfeldt's theorem, we get the following lower bound (and a trivial upper bound):

$$2 \left\lfloor \frac{(q-1)^k}{2^k \sqrt{\det(\mathbf{G}\mathbf{G}^T)}} \right\rfloor \leq |\mathcal{C} \cap \mathcal{L}_{A_{\text{sub}}}(\mathbf{G})| \leq |\mathcal{C}|.$$

- Can we get better bounds on the number of codewords in the  $A_{\text{sub}}$  lattices for given generator matrices?
- Can we get general bounds on the number of codewords in the  $A_{\text{sub}}$  lattices for broad parameters (e.g.,  $n, q$ )?
- Can we reduce the hardness of LDP by choosing a good generator matrix?
- Ultimately, which parameters of Lee metric codes are cryptographically secure and useful?

Thank you!



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