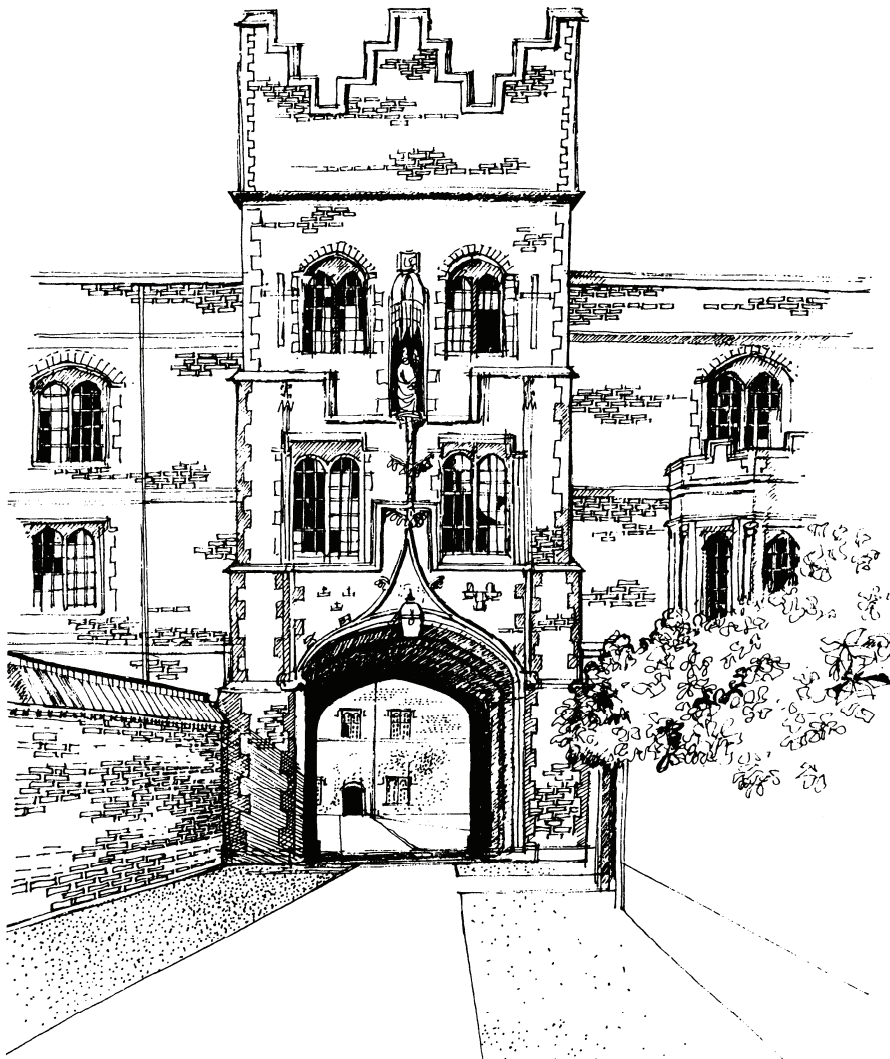


# THIRTIETH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 2ND SEPTEMBER - SUNDAY 9TH SEPTEMBER 2012

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

## Economic Crime - Surviving the Fall The Myths and Realities



# SFO

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## **The Organising Institutions**

Centre of Development Studies, University of Cambridge  
The Centre for International Documentation on Organised and Economic Crime  
The Institute of Advanced Legal Studies, University of London  
Cass Business School, City University, City of London  
The Society for Advanced Legal Studies  
International Association of Anti-Corruption Authorities  
The International Chamber of Commerce  
Centre for European and International Financial Law, University of Siena, Italy  
The Australian Institute of Criminology  
The Centre for Strategic and Global Studies, Russian Academy of Sciences  
Nathanson Centre on Transnational Human Rights, Crime and Security, York University, Canada  
IS Integrity Group, London School of Economics and Political Science, University of London  
The British Institute of Securities Laws  
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan  
The Dickinson School of Law, Pennsylvania State University, USA  
The Stockholm School of Economics, Sweden  
Keio University, Japan  
Department of Business Law and Taxation, Monash University, Australia  
Saint Louis University, USA  
University of the Free State, Republic of South Africa  
Center for International Financial Crimes Studies, University of Florida, USA  
Hungarian Academy of Sciences  
Institute for Legal Studies, Bulgarian Academy of Sciences  
Computer Security Group, University of Cambridge  
Centre for Criminology, University of Hong Kong  
The Graduate School of Arts and Sciences, University of Tokyo  
University of Cyprus  
Argentine Federation of Judges  
Faculty of Political Science, Department of European and International Studies,  
Centre for European and Comparative Studies, University of Palermo  
International Compliance Association

*In association with:* Jesus College, University of Cambridge

# *The 30th Cambridge International Symposium on Economic Crime*

## **Surviving the fall – some myths and realities!**

This year the Cambridge International Symposium on Economic Crime will celebrate its thirtieth year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts around the world. It also attests to the deep concern of governments and financial and other institutions as to the extent of the risks to stability and security thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a respected and trusted international forum and network it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Thirtieth Symposium will focus on the particular issues and risks thrown up by the financial crisis from the perspectives of those who, in whatever capacity, are concerned to promote stability and protect the integrity of their institution, business or economy. The near collapse of the financial sector, particularly in the west, has given rise to fundamental changes in the architecture of supervision and the content of regulation. Indeed, in many countries new agencies are being brought into play while those that have to a greater or lesser degree been found deficient continue to function with an uncertain future. The opportunities for fraud, misconduct and the further abuse and undermining of financial institutions are today arguably unrivalled. The gatekeepers are either looking for new jobs or have yet to be given the keys! While this is particularly so in the United Kingdom sadly our experience is not unique.

The regulatory and enforcement environment has changed in many jurisdictions as a result of the financial crisis and the parameters of responsibility and therefore liability have become even less clear. Increasingly responsibility is placed on those in supervisory positions to 'insure' the integrity of subordinates and others. These obligations themselves create the potential for new forms of civil and administrative liability. All contribute as very real risks to reputation and thus, stability and sustainability.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. Last year the symposium attracted well over 1,600 participants from over 100 countries.

The Thirtieth Symposium will focus on the identification and control of real and current threats confronting the financial system and in particular financial institutions from those who engage in self-dealing, corrupt practices and fraud or who assist and facilitate the crimes of others by laundering criminal property or evade taxation. Such threats, however, are complex and manifest themselves at many different levels. For example, considerable emphasis is placed on the problems that confront those who operate in the financial world, primarily as a result of regulatory and enforcement actions designed to address specific criminal issues – such as the disruption of highly profitable crime. Our programme is designed by those working in enforcement, compliance and the financial sector with the deliberate intention of focussing on real and topical issues and providing, at a truly international level, if not answers – better strategies and greater co-operation. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 350 specialist speakers and panellists in the prevention and control of risk to the integrity and stability of the financial system and those who operate within it.

The programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge collaborating with a host of distinguished institutions throughout the world offers a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established over thirty years ago to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider  
Founder, Director and Co-Chairman,  
Jesus College, Cambridge

*Sunday, 2<sup>nd</sup> September 2012*

**13:00** Registration in the Marquee, Jesus College, Cambridge

**18:30** Cocktails in the Marquee hosted by the **Centre for International Documentation on Organised and Economic Crime (CIDOEC) and Deloitte & Co S.A**

**Dinner** in Hall and Upper Hall, Jesus College

A civic welcome will be extended on behalf of the City of Cambridge by the **Mayor of Cambridge**, after-dinner addresses will be given by **Sir Paul Judge**, Alderman of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge, **The Rt Hon The Lord Mayor of the City of London, Alderman David Wootton, Mr Saul M Froomkin QC**, Chairman of the Symposium and the Committee of Organising Institutions, Chairman of the Centre of International Documentation on Organised Crime Centre, former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and currently Senior Litigation Counsel, ISIS Law Limited Bermuda, and **Professor Barry A.K. Rider**, Founder, Director and Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge, Fellow Commoner and sometime Fellow, Dean and Tutor of Jesus College, Cambridge, former Director of the Institute of Advanced Legal Studies, University of London, and Master of the Bench of the Inner Temple introduced by **Colonel Robert Murfin DL**, Clerk of the Worshipful Company of Pattenmakers of the City of London

*Monday, 3<sup>rd</sup> September 2012*

**08:00** **Inaugural Keynote Addresses**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Rt Hon Mr Dominic Grieve QC, MP**, HM Attorney General for England and Wales
- **The Rt Hon The Lord Mayor of the City of London, Alderman David Wootton**
- **The Hon Professor G L Peiris MP SC**, Minister of External Affairs, Government of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka and former Vice-Chancellor, University of Colombo
- **The Hon Dr Julio Alak**, Minister of Justice, Argentina
- **The Hon Senator Ike Ekweremadu**, Deputy President of the Senate, Federal Republic of Nigeria and Speaker of the Parliament of the Economic Community of West African States (ECOWAS Parliament)
- **The Hon Justice Steven Chong SC**, Attorney General of Singapore
- **The Lord Davidson of Glen Clova QC**, HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General of Scotland
- **The Hon Ajith Nivard Cabraal**, Governor, Central Bank of Sri Lanka
- **The Hon Justice I Auta**, Chief Justice, Federal High Court, Nigeria
- **The Hon Justice Yoram Danziger**, Justice of the Supreme Court of Israel
- **Mr David Green QC**, Director, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Nobuo Inada**, Director General, Criminal Affairs Bureau, Ministry of Justice, Japan
- **Ms Bridget Brennan**, Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Adrian Leppard**, The Commissioner, City of London Police, UK
- **Sir Anthony Bottoms**, Emeritus Wolfson Professor of Criminology, University of Cambridge, Honorary Professor of Criminology at the University of Sheffield and former Director, Institute of Criminology, University of Cambridge, UK

**10:45** Coffee

**11:00** **Keynote Addresses (continued)**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Hon Ms Conchita Carpio Morales**, The Ombudsman of the Republic of the Philippines
- **Mr Martin Kreutner**, Chair, International Transition Team, The International Anti-Corruption Academy and President, European Partners Against Corruption, Austria
- **Mr David Johnston**, Head, The National Technical Assistance Centre (NTAC), UK and former Commander, Special Operations, Metropolitan Police, UK
- **The Hon Mr William Frei**, Chargé de Relations to the European Parliament, Mission of Switzerland to the European Union, Belgium
- **The Hon Mr Reginald Rhoda QC**, HM Attorney General, Gibraltar
- **The Hon Mr Timothy Le Cocq QC**, HM Attorney General of Jersey
- **Mr Kenichi Kiyono**, Deputy Director, United Nations Asia and Far East Institute (UNAFEI), Japan
- **Mr Nicholas Colucci**, Associate Director of the Analysis and Liaison Division, Financial Crimes Enforcement Network (FinCen), Department of Treasury, USA

- **Mr David Kirk**, Chief Criminal Counsel, Enforcement and Financial Crime, Financial Services Authority, UK
- **Professor Datuk Rifaat Ahmed Abdel Karim**, former Secretary-General, Islamic Financial Services Board and Research Professor, International Center for Education in Islamic Finance (INCEIF), Malaysia

**13:00**            **Lunch** in Hall and Upper Hall, Jesus College generously hosted by **The British Institute of Securities Laws**

**14:00**            **Group Photograph**, Jesus College

**14:30**            **Keynote Addresses** (continued)

- **Mr Carlos Zeyen**, Vice President, Eurojust, The Netherlands
- **Dr Abdullahi Shehu**, Director General of the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA), Economic Community of West African States (ECOWAS)
- **Dr Peter German**, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Ms Isabel Pastor**, Senior Advisor, International Organisation of Securities Commissions (IOSCO), Spain
- **Dr Michael Taylor**, Member of the Secretariat, Financial Stability Board, Switzerland
- **Dr Ye Feng**, Secretary General, International Association of Anti-Corruption Authorities, Vice President, International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China
- **Professor Mads Andenas**, UN Human Rights Mandate Holder, Member of UN Working Group against Arbitrary Detention, Professor of Law at the Universities of Oslo and Leicester, Senior Research Fellow at the University of Oxford and the IALS, and Master of the Bench of the Inner Temple and former Director of the Norwegian Centre for Human Rights and Director of the British Institute of International and Comparative Law, Norway
- **Mrs Emily Thornberry MP**, Shadow Attorney General for HM Loyal Opposition

**16:00**            **Session Ia: Economic Crime – delivering justice and protecting our economies**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mrs Rosalind Wright**, Chair, Fraud Advisory Panel, Member of the Supervisory Committee, European Anti-Fraud Office, Master of the Bench of the Middle Temple and former Director of the Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Rory Field**, Director of Public Prosecutions, Bermuda and former Legal Advisor (Organised Crime), OECD and OSCE (Serbia)
- **Professor Zenón Biagosch**, President, FIDESnet, Argentina; Director, Business School, Pontifical Catholic University, Argentina and former Vice President, Central Bank of Argentina
- **Dr Joey Wang**, Director, International Operations Division, Investigation Bureau, Ministry of Justice, Taiwan
- **The Hon Worgu Boms**, Attorney-General of River State, Nigeria
- **Professor Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow, Russia
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Dr Marcelo Ruiz**, Partner, Pastoriza, Canguero Ruiz and former Minister Counsellor, Argentine Embassy, UK
- **Professor John Jeremie SC**, Head, Faculty of Law, University of the West Indies St Augustine, Trinidad and Tobago and former Attorney General and High Commissioner for Trinidad and Tobago to the United Kingdom
- **Professor Mike Levi**, Professor of Criminology, Cardiff University, UK
- **Dr Simone White**, Legal Officer, European Anti-Fraud Office of the European Commission, Belgium
- **Ms Catherine Ahn**, Office of the General Counsel, Department of Treasury, USA
- **Ms Niamh Mulholland**, Markets and Stockbroking Supervision Division, Central Bank of Ireland
- **Professor Paul Larsson**, Professor, Police University College, Oslo, Norway
- **Mr Graeme Millar**, National Terrorist Financial Investigation Unit, UK
- **Ms Anne Sheedy**, Head of Financial Crime and Strategic Intelligence, CIFAS – The UK's Fraud Prevention Service, UK
- **Mr Jack Cole**, Board Member, Law Enforcement Against Prohibition, USA

**Parallel Session Ib: Does economic crime really matter in the modern world?**

Chair: **Mr Michael Ashe QC SC**, 9 Stone Buildings London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple

- **Mr Nicholas Burbidge**, Senior Director, AML and Compliance Division, Office of the Superintendent of Financial Institutions, Government of Canada

- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Professor Peter Williamson**, Professor of International Management, Judge Business School, University of Cambridge, and Professorial Fellow of Jesus College, Cambridge, UK
- **Professor Hans Geiger**, Emeritus Professor of Banking, Swiss Banking Institute, University of Zurich, Switzerland
- **Professor Jackie Harvey**, Professor of Professional Management, Newcastle Business School, Northumbria University and Consultant to the Financial Services Authority, UK
- **Dr David Langley**, formerly of the Home Office, UK
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Centre of Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge, UK
- **Professor Dayanath Jayasuriya**, President's Counsel, Visiting Professor of Law, University of the Free State, Republic of South Africa; former Chairman, Securities and Exchange Commission of Sri Lanka
- **Mr Daud Vicary Abdullah**, President and CEO, International Centre for Education in Islamic Finance (INCEIF), Malaysia
- **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP Law School, UK
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Italy
- **Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury's Money Laundering Advisory Committee, UK
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Russell Smith**, Principal Criminologist, Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia

**18:45 Cocktails and literary awards ceremony in the Marquee – Generously sponsored by the Emerald Group publishers of The Journal of Financial Crime and Journal of Money Laundering Control**

**Dinner in Hall and Upper Hall, Jesus College – Generously sponsored by Madam Deborah Hsieh, Madam Li-Huan Wang and the Ministry of Justice, Investigation Bureau, Taiwan**

**After-dinner Addresses by Mr Theodore Huckle QC**, HM Counsel General for Wales, **Mr Richard Regan**, Member of Common Council and former Sheriff and Chief Commoner of the City of London and **Director Joey Wang**, Ministry of Justice Investigation Bureau, Taiwan introduced by **Colonel Robert Murfin DL**, Clerk of the Worshipful Company of Pattenmakers of the City of London with a vote of thanks proposed by **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria

**Tuesday, 4<sup>th</sup> September 2012**

**08:00 Session II: New Risks and responses – new wine in old bottles?**

Chair: **Mr Richard Regan**, Member of Common Council and former Sheriff and Chief Commoner of the City of London

- **The Hon Mr Masayuki Yoshida**, Commissioner, Securities and Exchange Surveillance Commission, Japan
- **Mr Adam Kaufmann**, Executive Assistant District Attorney and Chief of the Investigation Division, New York County District Attorney's Office, USA
- **Mr Adam Feeley**, Director and Chief Executive of the Serious Fraud Office of New Zealand
- **Mr John Harris**, Director General, Jersey Financial Services Commission
- **Mr Stephen Head**, Head of Economic Crime, Economic Crime Directorate, City of London Police, UK
- **Ms Elizabeth Jacobs**, Deputy Director, Office of International Affairs, Securities and Exchange Commission, USA
- **The Hon Justice M.A Owoade**, Court of Appeal, Imo State, Nigeria
- **Mr Sean O'Malley**, Assistant Vice President and Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA
- **Mr Anthony Belchambers**, Chief Executive, Futures and Options Association, UK
- **Mr John Mair**, Office of the Chief Compliance Officer, The European Bank for Reconstruction and Development UK
- **Mr Wesley Wong**, Deputy Director of Public Prosecutions, Department of Justice, Hong Kong SAR, China
- **Mr Robb Adkins**, Partner, Winston & Strawn, former Executive Director, Financial Fraud Enforcement Task Force, US Department of Justice, USA
- **Mr Kola Awodein**, Senior Advocate of Nigeria and Director, Skye Bank Plc, Nigeria
- **Ms Gisela Mayer**, Director, Hipotecario Bank, Argentina

## **Parallel Session IIb: Fighting Corruption – international initiatives and perspectives**

Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London

- **Ms Rosemary Donabella**, Head of Policy, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Dr Peter German**, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Mr El Cid Butuyan**, Senior Litigation Specialist (Integrity), the World Bank, Washington DC and Wasserstein Fellow for Public Interest Law, Harvard University, USA
- **Dr Silvina Rivarola**, Criminal Oral Trial Judge, Argentina
- **Mr Richard Collins**, Head of Risk Management, Wood MacKenzie, UK
- **Professor Andrew Haynes**, Director, Institute of Financial Law, University of Wolverhampton, UK
- **Mr Rune Grundekjon**, Special Advisor and Controller, Finanstilsynet (Financial Services Authority), Norway
- **Mr Tyler Hodgson**, Counsel, Borden Ladner Gervais LLP and former Chief Legal Officer, Dubai First International, Dubai
- **Dr Denis Osborn**, Consultant in Governance, Development and Training and former HM High Commissioner to Malawi
- **Dr Alison Lishan Ai**, Senior Researcher, Centre for Transnational Crime Prevention, Faculty of Law, University of Wollongong, Australia

**10:30**      **Coffee**

**11:00**      **Session III: Old threats and persistent risks – when will we learn?**

Chair: **Dr Peter German**, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada

- **Mr Ian M. Comisky**, Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney Southern District of Florida, USA
- **Professor Margaret Beare**, Professor of Law and Sociology and former Director, Nathanson Centre on Transnational Human Rights, Crime and Security, Faculty of Law, York University, Toronto, Canada
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China
- **Professor Eisuke Nagatomo**, The Graduate School, Waseda University, Japan and President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Professor Jonathan Fisher QC**, Barrister, Devereux Chambers, London, UK and Professor of Law, London School of Economics, University of London
- **Dr Thomas Spies**, Counsel and Senior Manager, Regulatory Service group, KPMG, Germany
- **Colonel Grant Newsham**, Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd
- **Mr Carlos Conceicao**, Partner, Clifford Chance LLP and former Head of Enforcement, Wholesale Group, Financial Services Authority, UK
- **Mr Norio Matsuzaka**, Director, Japanese Crime Groups Measures, and Special Assistant, Japanese Financial Intelligence Centre, National Police Agency, Japan
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Minister Counsellor, Bulgarian Embassy, London
- **Mr Rowan Bosworth-Davies**, Consultant, UK

### **PARALLEL PLENARY WORKSHOP I**

#### **Media Integrity – the issues**

**Mr Chris Blackhurst**, Editor, The Independent, UK

**Mr David Leigh**, Editor, Guardian Investigations, UK

**Mr Angus Stickler**, Chief Reporter, The Bureau of Investigative Journalism, UK

**Mr Stephen Grey**, Special Correspondent, Reuters Enterprise Team

**Ms Polly Sprenger**, Special Advisor, Private Investigators Inquiry, Home Affairs Select Committee, UK

**Mr Michael Ricks**, Managing Director, Enquire International Ltd, UK

**Ms Margarita W. de Tablante**, Journalist, Venezuela

**Mr Nigel Dudley**, Journalist and Editor of Quantum, UK and Qatar

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session IV: Exploiting the Financial Crisis**

Chair: **Rt Hon The Lord Faulkner of Worcester**, Deputy Speaker of the House of Lords and Honorary Fellow, Worcester College, Oxford, UK

- **Mr Martin Tidén**, Chief Public Prosecutor, Ekobrottsmyndigheten (Economic Crime Authority), Sweden
- **Mr John Reading SC**, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China
- **Dr Juan A. Bontempo**, Director-General, National Insurance Superintendency, Argentina
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Professor Louis de Koker**, Professor of Law, School of Law, Faculty of Business and Law, Deakin University, Australia
- **Professor Dmitry Gololobov**, Senior Partner, Gololobov & Co, (Russian Legal Advisors) London, UK
- **Mr Andrew Boye-Doe**, The Secretary and Director, Bank of Ghana, Ghana
- **Dr Massimo Nardo**, Co-ordinator, Organised and Economic, National Financial Intelligence Unit, Banca d'Italia, Italy
- **Mr Ian Mason**, Editor, PLC Financial Services and former Head of the Wholesale Group, Enforcement Division, Financial Services Authority, UK
- **Ms Susan Galli**, Senior Vice President, AML Programme Director, HSBC North America Holdings Inc, USA
- **Mr James McGinnis**, Chief Compliance Officer, BBVA s.a.v, USA
- **Mr Olu Adaramewa**, Deputy Director, Foreign Operations, Central Bank of Nigeria
- **Ms Julie Beesley**, Group Head of AML/CTF and Sanctions, Westpac Bank, Australia
- **Mr Al-Harith Idriss**, Co-ordinator, President's Office, Kuwait Investment Office

**PARALLEL PLENARY WORKSHOP II**

**Somali Maritime Piracy: Unbridled economic crime and worse**

**Mr P Mukundan**, Director, Commercial Crimes Services, International Chamber of Commerce, UK

**Mr Ben Russell**, Foreign and Commonwealth Office, UK

**Professor Chris Bellamy**, Director, Greenwich Maritime Institute, UK

**Mr Dan Hooton**, Managing Director, Spearfish, UK

**Dr Shima Keene**, Director, Security Economics, Institute of Statecraft and Governance, UK

15:45 Tea

**PLENARY WORKSHOP III - 16:15-17:15**

**U.S. Financial Crimes: Myths and Realities**

**Mr Ian M. Comisky**, Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney, Southern District of Florida, USA

**Mr Larry A. Campagna**, Chamberlain, Hrdlicka, White, Williams & Aughtry, Houston, USA

**Ms Miriam L. Fisher**, Partner, Morgan, Lewis & Bockius LLP, and Former Attorney, Tax Division, US Department of Justice, USA

**Mr Robert S. Fink**, Partner, Kostelanetz & Fink, LLP, USA

**Mr. Kevin Downing**, former Representative, Tax Division, US Department of Justice, USA

**PLENARY WORKSHOP IV - 16:15-17:15**

**Innovation and risk - improving controls and governance at the frontiers of finance**

**Mr Anthony Belchambers**, Chief Executive Officer, Futures and Options Association, UK

**Mr George Littlejohn**, Senior Adviser, Chartered Institute for Securities & Investment, UK

**Professor Michael Mainelli**, Director, Z/Yen Group, UK

**Mr Tony Manwaring**, Chief Executive, Tomorrow's Company, UK

**PLENARY WORKSHOP V - 16:15-17:15**

**Is the threat to Organised Crime of prosecution for money laundering more than a charade?**

**Mr Kenneth Murray** Head of Forensic Accountancy, Scottish Crime and Drug Enforcement Agency, UK

**Dr Peter German**, former Deputy Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police, Canada

**Dr Peter Sproat**, Senior Lecturer in Policing at University of West of Scotland, UK

**Ms Tessa Lorimer**, Crown Advocate, Crown Prosecution Service, UK

**Ms Elena Jacobs**, Criminal Defence Solicitor, SVS Solicitors, UK

**WORKSHOP 1 – 17:30-18:30**

**Asset recovery: Does it really work?**

**Professor Jackie Harvey** Professor of Professional Management, Newcastle Business School, Northumbria University, UK

**Dr Colin King**, Centre for Criminal Justice Studies, School of Law, University of Leeds, UK

**WORKSHOP 2 – 17:30-18:30**

**The role of fraud intelligence as a prevention and educational resource**

**Mr Stephen Strickland**, Head of Training, Economic Crime and Fraud Training Academy, Economic Crime Directorate, City of London Police, UK

**WORKSHOP 3 – 17:30-18:30**

**The Serious Fraud Office – Hammer of the City or Uncle Sam’s poodle?**

**Mr Rowan Bosworth-Davies**, Consultant and Visiting Lecturer in Law, BPP Law School, UK

**Mr Chris Dickson**, former Executive Counsel, Accountants’ Joint Disciplinary Scheme and former Assistant Director, Serious Fraud Office of England and Wales, UK

**WORKSHOP 4 – 17:30-18:30**

**Data sharing against economic crime - Experience in UK and Eastern Europe**

**Mr István Lengyel**, Secretary General, Banking Association for Central and Eastern Europe, Hungary

**Mr Trevor Bedeman**, Partner, London Risk, UK

**WORKSHOP 5 – 17:30-18:30**

**New policies and approaches in addressing financial misconduct in the banking sector from the EU**

**Mr Peter Welch**, Head, Private Office, UK Representative to the European Court of Auditors

**Mr William Tupman**, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK

**Dr Simone White**, Legal Officer, European Anti-Fraud Office of the European Commission, Belgium and Honorary Research Fellow, Institute of Advanced Legal Studies, University of London

**WORKSHOP 6 –17:30-18:30**

**Corporate Social Responsibility – where we are and where we are going**

**Ms Catherine Pedamon**, Lecturer, School of Law, University of Westminster, London, UK, Director of the Franco British Lawyers Association and a member of the Paris and New York Bars

**Dr Lez Rayman-Bacchus**, Director, Centre for Corporate Responsibility, London Metropolitan University Business School, UK

**Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK

**WORKSHOP 7 –17:30-18:30**

**Effective Regulation – the unfinished Agenda**

**Professor Dayanath Jayasuriya**, President's Counsel, Visiting Professor of Law, University of the Free State, Republic of South Africa; Former Chairman, Securities and Exchange Commission of Sri Lanka

**Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

**Dr Graeme Baber**, Lecturer in Law, BPP University College of Professional Studies, London

**Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London

**WORKSHOP 8 –17:30-18:30**

**Counting the cost of ‘Slavery’ in the UK – the impact of People Trafficking**

**Mr Glynn Rankin**, Director of Rankin and Kinsella Associates, Independent Human Trafficking Experts and former Director, Legal Services, UK Human Trafficking Centre, UK Government

**Dr Sam Scott**, Associate Fellow, Exeter University, UK

**Mr Roger Plant**, formerly of the International Labour Organisation, Geneva

19:00

**Cocktails in the Marquee,**  
Generously sponsored by **The Nathanson Centre on Transnational Human Rights, Crime and Security**

**Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **The Rt Hon Lord Peter Goldsmith QC**, Partner, Debevoise & Plimpton LLP and former Attorney General of England and Wales and **The Rt Hon Lord Justice Rupert Jackson PC**, Lord Justice of Appeal, England and Wales and Honorary Fellow of Jesus College introduced by **Mr Mark Blandford-Baker**, Home Bursar and Fellow, Magdalene College, University of Oxford with a vote of thanks proposed by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited and the Institute of Advance Legal Studies, University of London

**Wednesday, 5<sup>th</sup> September 2012**

07:00 **Editorial Meeting** of the Journal of Financial Crime and the Journal Money Laundering Control

08:00 **Session V: Fraud and Abuse – the new players in town**

Chair: **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

- **Mr Paul Lachal Roberts**, Advisor to the Director-General, European Commission Anti-Fraud Office, Brussels
- **Colonel Emilio Fiora**, Guardia di Finanza, Italian Embassy, UK
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Professor Leonid Fituni**, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Professor George Millard**, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil
- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple
- **Mr Jason Haines**, Head, AML Upstream Risk and the Deputy Head of AML Policy and Advisory, Royal Bank of Scotland Group, UK
- **Dr Jose Barbaccia**, Consultant and former Federal Prosecutor, Argentina
- **Mr Andrew Fyfe**, Detective Inspector, Economic Crime Directorate, City of London Police, UK
- **Professor Wassim Shahin**, Dean and Professor of Business Economics, Lebanese American University, Lebanon
- **Dr Mariano Cuneo Libarona**, Senior Partner, Estudio Cuneo Libarona, Argentina
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Dr Bian Jing**, Senior Teaching Fellow, School of Oriental and African Studies, University of London and formerly of the Justice Department, People's Republic of China

**PARALLEL PLENARY WORKSHOP VI**

**Open for Business and Profit in a Regulated Environment**

**Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China  
**Ms Connie S. P. Wu**, Senior Business Intelligence Manager, Asia Pacific Risk, HSBC, Hong Kong SAR, China  
**Dr Justine Walker** Director Financial Crime (Sanctions and Bribery) British Bankers' Association, UK  
**Mr Charles Proctor**, Partner, Edwards Wildman, London, UK  
**Mr John Nugee**, Senior Managing Director, Official Institutions Group, State Street Global Advisers Limited, UK

10:45 **Coffee**

11:00 **Session VI: Dirty Money – the risks to the good guys**

Chair: **Sir Gavin Lightman QC**, Consultant, Winston & Strawn, former Judge of the Chancery Division, High Court of Justice of England and Wales and former Master Treasurer of Lincoln's Inn

- **Mr Stefan Cassella**, Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Mr Philip de Koster**, Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Mr Kenneth Murray**, Head of Forensic Accountancy, Scottish Crime and Drug Enforcement Agency, UK
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
- **Dr Justine Walker**, Director Financial Crime (Sanctions and Bribery), British Bankers Association, UK
- **Professor Louis de Koker**, Professor of Law, School of Law, Faculty of Business and Law, Deakin University, Australia

- **Mr Fábio Ramazzini Bechara**, Public Prosecutor and Head of the Special Anti-Organised Crime Group, Ministry of Justice for the State of Sao Paulo, Brazil
- **Ms Kelly Yip**, The Graduate Center, John Jay College of Criminal Justice, USA
- **Dr Shima Keene**, Director, Security Economics, Institute of Statecraft and Governance UK
- **Dr Shazeeda Ali**, former Technical Advisor and Assistant Attorney General, Jamaica and Lecturer in Law, University of the West Indies
- **Mr Obot Udofia**, Assistant Director, AML/CFT Office, Financial Policy and Regulation Department, Central Bank of Nigeria
- **Dr Mark Handley**, Associate, Gibson, Dunn and Crutcher LLP, London, UK
- **Mr Mark Turkington**, Senior Manager Australian AML, Bribery and Sanctions Compliance, National Australia Bank, Australia

### **PARALLEL PLENARY WORKSHOP VII**

#### **Economically motivated crime – the viability, relevance and methodology of research**

**Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP Law School, UK

**Professor Russell Smith**, Principal Criminologist, Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia

**Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat

**Professor Leonid Fituni**, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow

**Mr William Tupman**, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK

**13:00** Lunch in Hall and Upper Hall, Jesus College

**14:00** **Session VII: Penetration, subversion and culpable insiders – the enemy within**

Chair: **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

- **Mr Rexford Setzer**, Unit Chief, Illicit Finance and Proceeds of Crime Unit, Investigations Financial, Narcotics and Special Operations Division, ICE, Homeland Security, USA
- **Mr Stephen Ball**, Partner, KPMG LLP, London, UK
- **The Hon Senator Dr Guiseppe Lumia**, Member of the Italian Senate and former President of Italian Parliamentary Anti-mafia Commission, Italy
- **Mr Istvan Lengyel**, Secretary General, Banking Association for Central and Eastern Europe, Hungary
- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Mr Wilmer Parker III**, Partner, Maloy Jenkins Parker, USA and formerly of the Justice Department, USA
- **Mr Robin Dyer**, Head of Compliance, Bermuda Commercial Bank Ltd, Bermuda
- **Mr Stephen Strickland**, Detective Inspector, Head of Training, Economic Crime and Fraud Training Academy, Economic Crime Directorate, City of London Police, UK
- **Ms Nicola Christofides**, Director, UK and EMES Anti-Money Laundering, American Express, UK
- **Mr Michael Weis**, Director, PriceWaterhouseCoopers Sare, Luxembourg
- **The Hon Mr Raphael Obudra Baku**, Inspector-General, Government, Uganda
- **Mr Jyoti Trehan**, Inspector General of Police (retired), Indian Police Service
- **Professor Takeyoshi Imai**, Professor of Criminal Law, Hosei Graduate Law School, Tokyo and Advisor to the Ministry of Justice, Japan
- **Mr Duane Aslett**, Programme Leader, Forensic Accountancy, Faculty Economic and Management Services, North-West University, Australia

### **PARALLEL PLENARY WORKSHOP VIII**

#### **Seizing the Proceeds of Crime – A critical analysis of how the law and practice work in key Jurisdictions**

**Mr John Reading SC**, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China

**Mr Stefan Cassella**, Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA

**Professor Russell Smith**, Principal Criminologist, Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia

**Mr Jeffrey Bryant**, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service of England and Wales

**Mr David Gray**, Manager, Proceeds of Crime Litigation, Australian Federal Police, Australia

**Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China

**PLENARY WORKSHOP IX– 16:15-17:15**

**The Wolfsberg Group – Current challenges in AML from a banking perspective**

**Ms Tracy Paradise**, former Executive Secretary, The Wolfsberg Group & Senior Compliance Officer, HSBC Private Bank Holdings  
**Mr Hans-Peter Bauer**, Member of the Board, Basel Institute on Governance  
**Mr Chris Davies**, Head of Group Compliance, Société Générale & Co-Chair, The Wolfsberg Group  
**Ms Susan Wright**, Chief Money Laundering Control Officer, HSBC Holdings plc

**PLENARY WORKSHOP X– 16:15-17:15**

**Surviving the Fall: Myths and Realities – the US experience**

**Professor Jim Fisher**, Shaughnessy Fellow, Emerson Ethics Center, and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA  
**Professor Muhammad Islam**, Department of Economics, John Cook School of Business, Saint Louis University, USA  
**Professor Jim Gilsinan**, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University, USA  
**Professor Jim Millar**, Professor of Finance, University of Arkansas, USA  
**Professor Neil Seitz**, Professor of Finance and formerly Dean of the John Cook School of Business, Saint Louis University, USA

**PLENARY WORKSHOP XI– 16:15-17:15**

**Privacy and criminal record background screening – the real issues!**

**Mr John Sliter**, Acting Director General, Canadian Police Information Centre, Royal Canadian Mounted Police, Canada  
**Mr Kevin Price**, Vice President Sales-UK, BackCheck and former Chief Superintendent, Gwent Police, UK  
**Mr Todd Anstey**, President, Triton  
**Dr Domitilla Vanni di San Vincenzo**, Lecturer in Comparative Law, University of Palermo, Italy

**WORKSHOP 9 – 17:30-18:30**

**Protecting the socially vulnerable from fraud**

**Dr Nancy Baldwin**, Attorney at Law, Florida, USA  
**Mr Graham Ritchie** Judge of the First Tier Tribunal, Solicitor, UK  
**Ms Karen Clubb**, Lecturer in Law, Department of Law, University of Derby, UK

**WORKSHOP 10– 17:30-18:30**

**Beneficial Ownership in the context of the Risk Based approach and the revised FATF Recommendations**

**Mr Neil Jeans**, Group MLRO, Santander, UK  
**Mr John Masters**, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General’s Chambers, Cayman Islands  
**Mr Clark Abrams** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

**WORKSHOP 11 – 17:30-18:30**

**International Co-operation in Corporate Insolvency**

**Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA  
**Mr Jonathan New**, former Assistant United States Attorney, Southern District of New York, USA  
**Mr John Carney**, Partner, Baker & Hostetler, USA  
**Mr Steve Akers**, Partner, Grant Thornton UK LLP, London, UK

**WORKSHOP 12 – 17:30-18:30**

**Dismantlement of Organised Criminal Networks - Operation Montecarl - a case study**

**Mr Stephen Ellen**, Detective Inspector, Metropolitan Police, UK

<p align="center"><b><u>WORKSHOP 13 – 17:30-18:30</u></b></p> <p align="center"><b>Compliance in Crisis – what can we learn from the cases</b></p> <p align="center"><b>Mr Nick Andrews</b>, Partner, Mpac Consultancy LLP, UK</p>	<p align="center"><b><u>WORKSHOP 14 – 17:30-18:30</u></b></p> <p align="center"><b>Some misconceptions in the pursuit of economic crime – thinking out of the box!</b></p> <p><b>Professor Andrew Haynes</b>, Professor of Financial Law and Director, Institute of Financial Law, University of Wolverhampton, UK</p> <p><b>Dr Alexander Schuchter</b>, Lecturer, School of Management, University of St Gallen, Switzerland</p>
<p align="center"><b><u>WORKSHOP 15 – 17:30-18:30</u></b></p> <p align="center"><b>Destabilising Law Enforcement – the politics of change</b></p> <p><b>Mr William Tupman</b>, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK</p> <p><b>Mr Andrew Hayman</b>, former Chief Constable, Norfolk Constabulary and Assistant Commissioner for Specialist Operations, Metropolitan Police UK</p> <p><b>Dr Jim Gale</b>, Divisional Commander, Devon and Cornwall Police</p>	<p align="center"><b><u>WORKSHOP 16 – 17:30-18:30</u></b></p> <p align="center"><b>How to address conflicts of interest and self-dealing – the practical way!</b></p> <p><b>Mr Philip Rutledge</b>, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA</p> <p><b>Professor Chizu Nakajima</b>, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK</p> <p><b>Professor Stuart Bazley</b>, Barrister, Consultant and Professor of Law, BPP University College of Professional Studies, London, UK</p> <p><b>Mr Richard Parlour</b>, Principal, Financial Markets Law International, UK</p>

**19:00**            **Cocktails** in the Marquee generously hosted by **Bybel and Rutledge LLP, Jenner & Block LLP**

**Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **HE Mr Anton Thalman**, Ambassador Extraordinary and Plenipotentiary of Switzerland to the Court of St James, **Mr Cyrus Vance**, The District Attorney of New York County (Manhattan), USA and **Mr Khawar Qureshi QC**, member of Searle Court, London and Head of McNair Chambers, Qatar introduced by **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA with a vote of thanks proposed by **Mr Thomas Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA

**Thursday, 6<sup>th</sup> September 2012**

**08:00**            **Session VIII: Detection, Whistleblowing and Witness Protection**

Chair: **Mr George Staple QC**, Consultant and Master of the Bench of the Inner Temple and former Director of the Serious Fraud Office of England, Wales and Northern and Partner, Clifford Chance LLP

- **Mr Oliver Sells QC**, Master of the Bench of the Inner Temple and Recorder of the Crown Court, England and Wales
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York, USA
- **Mr Alun Milford**, Head, Organised Crime Division, Crown Prosecution Service, UK
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily, Italy
- **Mr Brian Sapati**, Chairman, Financial Intelligence Centre Board, Ghana and former Head, Serious Fraud Office, Ghana
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China
- **Mr Thomas Sawyer**, Senior Litigation Counsel and Counsel for International Tax Matters, Tax Division, Department of Justice, USA
- **Mr William Tupman**, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK
- **Dr Li Xuebin**, National Probation Service, Ministry of Justice, UK and former Senior Official, Public Security Bureau, People's Republic of China
- **Mr Sarabjit Singh**, Director General, Bureau of Police Research and Development (retired), Indian Police Service, New Delhi, India
- **Mr Robert Mazur**, President, Chase and Associates, USA
- **Mr Navin Beekarry**, Associate Fellow, Center for Law, Economics & Finance (C-LEAF), George Washington University Law School, USA and former Chairman, Anti-Corruption Commission, Mauritius
- **Mr Jeffrey Davidson**, Partner, Crowe Clark Whitehill LLP, London, UK

## **PARALLEL PLENARY WORKSHOP XII**

### **Cyber-Crime the threats today and tomorrow!**

**Sir Kenneth Warren**, Consultant and former Member of Parliament and Chairman, Select Committee on Trade and Industry, House of Commons, UK

**Mr David Szuchman**, Chief Cybercrime and Identity Theft Bureau, New York County District Attorney's Office, USA

**Dr Richard Clayton**, Security Researcher, Computer Laboratory, University of Cambridge, UK

**Dr Shima Keene**, Director, Security Economics, Institute of Statecraft and Governance, UK

**Mr Wan Qi Chao**, Security Consultant and former Senior Official, Herbei Provincial Government, People's Republic of China

**Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies University of Florida, USA

**10:45** Coffee

**11:00** **Session IX: Compliance – the thin line!**

Chair: **Mr Tom Roche**, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York, USA

- **Mr Andrew Mitchell QC**, 33 Chancery Lane, London, UK, Master of the Bench of Gray's Inn and Chairman of Proceeds of Crime Lawyers Association, UK
- **Mr Michael Tuckett**, Director, State of New York Banking Department, USA
- **Mr Neil Stocks**, Advisor to UBS AG, London, UK
- **Dr Joachim Kaetzler**, Partner, CMS Hasche Sigle, Germany
- **Professor Cindy Schipani**, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Professor Wei Yee Wan**, Associate Professor, Singapore Management University, Singapore
- **Mr Roger Best**, Partner, Clifford Chance LLP, UK
- **Professor Stuart Bazley**, Barrister, Consultant and Lecturer in Law, BPP University College of Professional Studies, London, UK
- **Mr Larry Boyce**, Senior Vice President, SB Regulatory Consulting Inc, Canada
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- **Mr James Mews**, Director, Finance Industry Development, Chief Minister's Department, States of Jersey
- **Dr Katlen Blöcker**, Partner, Hogan Lovells International LLP, Germany
- **Mrs Abimbola Izu**, Senior Legal Advisor, Skye Bank, Nigeria

### **Parallel Session IXb: Too Big to Fail – How to Control Corporate Behemoths?**

Chair: **Mr Saul Fromkin QC**, Symposium Chairman

- **Mr Cyrus Vance**, The District Attorney of New York County (Manhattan), USA
- **Mr Vivian Robinson QC**, Partner, McGuireWoods LLP, London, former General Counsel, Serious Fraud Office of England, Wales and Northern Ireland and former Master Treasurer of the Inner Temple, UK
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Samuel Seymour**, Partner, Sullivan & Cromwell, President of the Association of the Bar, City of New York and former Assistant United States Attorney, Southern District of New York, USA
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa and Professor of Law, BPP Law School, UK
- New York and former Assistant United States Attorney, Southern District of New York, USA
- **Professor Eisuke Nagatomo**, The Graduate School, Waseda University, Japan, President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China
- **Mr Dan Webb**, Litigator, Winston & Strawn and former US Attorney, Northern District Illinois, USA
- **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, and Professor of Law BPP Law School, UK
- **Mr Peter Gray**, Partner, Gibson Dunn and Crutcher LLP, Dubai
- **Mr K. Muralidharan Pillai**, Partner, Rajah & Tann LLP, Singapore

**13:00** Lunch in Hall and Upper Hall generously hosted by **The University of the Free State, Republic of South Africa**

Chair: **Mr John Drysdale**, Chairman, Transparency International, UK

- **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines
- **The Hon Professor Valriy Dimitrov**, President of the National Audit Office, Bulgaria and Professor of Administration and Financial Law, University of Economy, Sofia, Bulgaria
- **Ms Meryl Lutsky**, Chief, Money Laundering Unit, New York State Attorney General's Office, USA
- **Mr Vivian Robinson QC**, Partner, McGuireWoods LLP, London, former General Counsel, Serious Fraud Office of England, Wales and Northern Ireland and former Master Treasurer of the Inner Temple, UK
- **Mr Mark Gough**, Deputy Head, Compliance Investigation, Siemens AG, Germany
- **Mr George Mills**, Serious Fraud Office of England, Wales and Northern Ireland
- **Ms Anita Esslinger**, Partner, Bryan Cave LLP, London, UK
- **Dr Patrick Hardouin**, Consultant, International Security and Business, Paris and former Assistant Secretary General, NATO
- **Professor Virginia Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Professor Zahar Modhzain** Associate Professor, Graduate School of Business, National University of Malaysia
- **Mr Soita Wasike**, Forensic Auditor, Forensic Investigation and Asset Tracing Department, Kenya Anti-Corruption Commission, Kenya
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau, Sweden
- **Mr Jesper Johnson**, Anti-Corruption Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway and Centre for Development Studies, University of Cambridge, UK

Tea

#### **PLENARY WORKSHOP XIII -16:15-17:15**

##### **When Ethics Become CSR**

**Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP Law School, UK

**Professor Virginia Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA

**Professor Cindy Schipani**, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA

**Ms Claire Drury**, Barrister, 4 Breems Building, London

**Dr Emmanuel Adegbite**, Lecturer, Durham Business School, University of Durham, UK

**Mr Scott Hopkins**, Counsel, Skadden Arps Slate, Meagher & Flom LLP, and formerly of the UK Takeover Panel

**Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa and Professor of Law, BPP Law School, UK

#### **PLENARY WORKSHOP XIV– 16:15 – 17:15**

##### **International Cooperation in Money Laundering and Criminal Property Cases**

**Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada

**Mr Robert Kroeker**, Executive Director, Civil Forfeiture Office, Ministry of Justice, British Columbia, Canada

**Mr Jean Cormier**, OIC Operations Support, Proceeds of Crime Branch, Royal Canadian Mounted Police, Ottawa, Canada

**Mr Stephen Sterling**, Assistant Crown Attorney, Guns and Gangs Taskforce, Ministry of the Attorney General, Ontario, Canada

#### **PLENARY WORKSHOP XV– 16:15-17:15**

##### **Conducting Compliance Investigations in High Risk Countries**

Convened by

**Mr Mark Gough**, Deputy Head, Compliance Investigation, Siemens AG, Germany

**Mr Guenter Herrmann**, Regional Director, Compliance Investigations Russia/Central Asia, Moscow, Siemens AG, Germany

<p align="center"><b><u>WORKSHOP 17 – 17:30-18:30</u></b></p> <p align="center"><b>Financial crime challenges to development: global mining, remittances, and illicit financial flows</b></p> <p><b>Mr Jesper Johnson</b>, Anti-Corruption Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway and Centre of Development Studies, University of Cambridge, UK</p> <p><b>Professor David Chaikin</b>, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General’s Department and the Commonwealth Secretariat</p> <p><b>Ms Alessandra Fontana</b>, Anti-Corruption Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway</p>	<p align="center"><b><u>WORKSHOP 18 – 17:30-18:30</u></b></p> <p align="center"><b>Off shore Financial Centres - hypocrisy and expediency - getting the regulatory balance correct (or at least fair!)</b></p> <p><b>The Hon Mrs Judith Jones-Morgan</b>, Attorney General, St Vincent and the Grenadines</p> <p><b>Dr Shazeeda Ali</b>, Technical Advisor and former Assistant Attorney General, Jamaica and Lecturer in Law, Norman Manley Law School, Jamaica</p> <p><b>Mr John Moscow</b>, Partner, Baker &amp; Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA</p> <p><b>Dr Mary Alice Young</b>, Research Fellow, Department of Law and Criminology, Aberystwyth University, UK</p>
<p align="center"><b><u>WORKSHOP 19 – 17:30-18:30</u></b></p> <p align="center"><b>Washing corrupt money – the risks and responsibilities</b></p> <p><b>Dr Abdullahi Shehu</b>, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal</p> <p><b>Professor Louis de Koker</b>, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia</p> <p><b>Dr Richard Alexander</b>, Lecturer in Financial Law, School of Oriental and African Studies, University of London</p> <p><b>Ms. Nuramarina Zolkapli</b>, School of Oriental and African Studies, University of London</p>	<p align="center"><b><u>WORKSHOP 20 – 17:30-18:30</u></b></p> <p align="center"><b>Deferred Prosecution Agreements in the United States: origins and application within the context of financial crimes</b></p> <p><b>Professor Fletcher Baldwin</b>, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA</p> <p><b>Mr Henry Rossbacher</b>, Partner, The Rossbacher Firm, Los Angeles, USA</p> <p><b>Mr Saul M Fromkin QC</b>, Senior Litigation Counsel, ISIS Law Limited, Bermuda</p>
<p align="center"><b><u>WORKSHOP 21 – 17:30-18:30</u></b></p> <p align="center"><b>Specialised Practical workshop organised by the Proceeds of Crime Lawyers Association (POCLA)</b></p> <p>Chaired by <b>Mr Ian Smith</b>, Barrister, 11 Stone Buildings, UK</p>	<p align="center"><b><u>WORKSHOP 22 – 17:30-18:30</u></b></p> <p align="center"><b>Financial Crime and Financial Institutions – Policing from inside</b></p> <p><b>Mr Jason Haines</b>, Head, AML Upstream Risk and Deputy Head of AML Policy and Advisory, Royal Bank of Scotland Group</p>
<p align="center"><b><u>WORKSHOP 23 – 17:30-18:30</u></b></p> <p align="center"><b>Fraud in Islamic Financial Markets – the Role of Shari’a</b></p> <p><b>Dr Lu’ayy Al-Rimawi</b>, Consultant and Programme Leader, BPP University College of Professional Studies, London and formerly of the Home Office, UK</p> <p><b>Mr Salim Al-Ali</b>, Researcher, Institute of Advanced Legal Studies, University of London, UK</p> <p><b>Mr Mohamad Joseph Naffa</b>, Legal consultant and researcher, Washington College of Law, USA and Institute of Advanced Legal Studies, University of London, UK</p> <p><b>Dr Siti Farida</b> Senior Lecturer in Law, National University of Malaysia</p>	<p align="center"><b><u>WORKSHOP 24 – 17:30-18:30</u></b></p> <p align="center"><b>How has organised crime benefited from the financial crisis and what is about the future?</b></p> <p><b>Mr William Tupman</b>, Senior Research Fellow, Anglia Ruskin University, Cambridge, UK</p> <p><b>Counsellor Carina O’Reilly</b>, Editor, Janes Intelligence Review and Researcher, Anglia Ruskin University, Cambridge UK</p> <p><b>Ms Anna Sergi</b>, Researcher, University of Essex, UK</p> <p><b>Professor George Millard</b>, Partner, Performance Global Risk Management and Security Consultants; Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil</p>

## PLENARY WORKSHOP XVI

### **The Criminal in the Mirror: identifying and alleviating corporate risk of corruption and becoming an accidental money launderer**

**Mr John Masters**, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General's Chambers, Cayman Islands

**Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

**Ms Elisabeth Lees**, Senior Crown Counsel, International Cooperation, Attorney General's Chambers, Cayman Islands

**Mr Matthew Gamble** Associate Director, Supervision & Head of Special Surveillance, Dubai Financial Services Authority, Dubai

**Mr Sean O'Malley**, Assistant Vice President and Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA

**Mr David Gray**, Manager, Proceeds of Crime Litigation, Australian Federal Police, Australia

19:00

**Cocktails in the Marquee** Generously hosted by **Baker & Hostetler LLP**

**Dinner** in Hall and Upper Hall, Jesus College

Addresses by **HE Mr Keiichi Hayashi**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James and **Mr Keir Starmer QC**, Director of Public Prosecutions for England and Wales and Head of the Crown Prosecution Service for England and Wales introduced by **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK with a vote of thanks proposed by **Mr Robert Evans**, Finance Director, Independent Parliamentary Standards Authority and former Head of Resources and Planning, Serious Fraud Office of England, Wales and Northern Ireland

***Friday, 7<sup>th</sup> September 2012***

08:00

**Session XI: Techno -Risk to those who look after other people's money**

Chair: **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge, UK
- **Mr David Szuchman**, Chief Cybercrime and Identity Theft Bureau, New York County District Attorney's Office, USA
- **Mr John Sliter**, Acting Director General, Canadian Police Information Centre, Canadian Royal Mounted Police, Canada
- **Mr David Cohen**, Senior Partner, Cohen Legal Partners, Israel
- **Ms Jayne Cowell**, Detective Superintendent, Metropolitan Police, UK
- **Professor Ian Angell**, Professor of Information Systems, Information Systems and Innovation Group, Department of Management, London School of Economics, UK
- **Dr Richard Clayton**, Security Researcher, Computer Laboratory, University of Cambridge
- **Mr Stephen Mason**, Barrister and Associate Research Fellow, Institute of Advanced Legal Studies, UK
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange, Malta
- **Hon Judge Li Guo Xing**, Municipal Court, Beijing, People's Republic of China
- **Mr Dave Copley**, Organised Crime and Money Laundering Investigator, UK and formerly Serious Organised Crime Agency, UK
- **Mr Mark Johnson**, Executive Chairman, The Risk Management Group, Cambridge, UK
- **Dr Azeem Aleem**, Principal Lecturer in Internet Security, Institute of Criminal Justice Studies, University of Portsmouth, UK

## **PARELLEL PLENARY WORKSHOP XVII**

### **Economic Crime, Stability and Development – too much of a good thing?**

**Professor Barry A.K. Rider**, Professorial Fellow, Centre for Development Studies, University of Cambridge, Professor of Comparative Law, Renmin University, China and Professor of Mercantile Law, University of the Free State, Republic of South Africa

**Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

**Professor Tortrakul Yomnak**, Chair, Sub-Committee on Anti-Corruption Measures, National Anti-Corruption Commission, Government of Thailand

**Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Visiting Lecturer, Centre of Development Studies, University of Cambridge, UK

**Mr Jesper Johnson**, Anti-Corruption Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway and Department of Land Economy, University of Cambridge, UK

**Ms ShenShen Lin**, KPMG and Institute of Advanced Legal Studies, University of London, UK

**Professor Eri Habu**, Associate Professor of Law, Yamaguchi University, Japan

**Mr Torplus Yomnak**, Centre of Development Studies, University of Cambridge, UK

**Mr Fabian Zhilla**, Visiting Lecturer, London Metropolitan University, UK

10:45 Coffee

11:00 **Session XII: Theft of Identity and Impersonation – who are you dealing with?**

Chair: **Sir Ivan Lawrence QC**, 5 Pump Court, London and Visiting Professor of Law, University of Buckingham, UK, Master of the Bench of the Inner Temple, former Member of Parliament and Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales

- **Mr Adam Kaufmann**, Executive Assistant District Attorney and Chief of the Investigation Division, New York County District Attorney's Office, USA
- **Mr Keith Oliver**, Senior Partner, Peters and Peters, London, UK
- **Mr Gregory Coleman**, Special Agent, Federal Bureau of Investigation, USA
- **Mr Kennedy Talbot**, Barrister, 33 Chancery Lane, London, UK
- **Mr Jonathan Lin**, Public Prosecutor, Department of Justice, Hong Kong SAR, China
- **Ms Koko Ives**, Senior Supervisory Financial Analyst, BSA/AML Compliance Section, Board of Governors of the Federal Reserve System, USA
- **Mr Russ Middleton**, Metropolitan Police, UK
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- **Mr Robert Henoch**, Partner, Kobre & Kim LLP, London, UK and formerly Office of the District Attorney of New York, USA
- **The Hon Judge Abdulai Sheikh Fofanah**, Judicial Service, Sierra Leone
- **Mr Rob McCusker**, Director, Centre for Fraud and Financial Crime, Teesside University Business School, UK
- **Mr Scott Reeve**, Fraud Investigations and Police Liaison, CIFAS – The UK's Fraud Prevention Service, UK

## **PARELLEL PLENARY WORKSHOP XVIII**

### **Legalised regulation of drugs – a strategy for reducing violent crime and protecting financial institutions**

**Lieutenant Jack Cole**, Board Chair, LEAP and former undercover agent, New Jersey State Police, USA

**Ms. Annie Machon**, former intelligence officer in the UK Domestic Security Service, MI5

**Dr Romesh Bhattacharji**, Board Member, LEAP and former Drug Czar of India, New Delhi, India

13:00 Lunch in Hall and Upper Hall

14:00 **Session XIII: Establishing a level playing field - proportionality and the balance of convenience**

Chair: **Dr Oonagh McDonald**, former Member of Parliament and Political Private Secretary to the Chief Secretary to the Treasury, Research Fellow, Nuffield College, Oxford, Board Member of the Securities and Investment Board and Financial Services Authority, UK

- **Mr John Aspden**, Chief Executive, Financial Services Commission, Isle of Man, UK
- **Mr Calvin Wilson**, Executive Director, Caribbean Financial Action Task Force (CFATF), Trinidad
- **Mr Yehuda Shaffer**, Deputy State Attorney (Financial Crime), Israel
- **Mr Frederic Raffray**, Crown Advocate, Guernsey

- **Mr John Masters**, Barrister, Blackburn Chambers, Australia and former Crown Counsel, Attorney General's Chambers, Cayman Islands
- **Mr Frans van Proosdij**, Global Manager Compliance, Citco Banking Division. The Netherlands
- **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission
- **Mr Robert Targ**, Member of the Board of Directors, Florida International Banking Association and Partner, Diaz Reus & Targ LLP, Miami, USA
- **Mr James Springer**, AML/CTF Advisor and former Resident Financial Enforcement Advisor, Rabat, Morocco, Office of the Technical Assistant, US Department of Treasury, USA
- **Dr Anton Keller**, The Secretary, Swiss Investors Protection Association, Switzerland
- **Mr Chernio Jallow QC**, Director of Policy Research and Statistics, Financial Services Commission, British Virgin Islands and former Attorney General, British Virgin Islands
- **Professor Manuelita Munoz**, Professor of Law, Inter American University School of Law, Puerto Rico
- **Ms Iyandra P. Bryan**, Attorney, Higgs & Johnson, Nassau, Bahamas

**PARELLEL PLENARY WORKSHOP XIX**

**The Fighting Serious and Organised Crime - Italian Style**

**Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy

**Professor Costantino Visconti**, Professor of Criminal Law and Comparative Law at the University of Palermo

**Hon Dr Fabio Licata**, Judge at the Court of Palermo, Special Section for Anti-mafia Preventive Measures and Professor of Criminal Law at the University of Palermo

**Avv Annalisa Alongi**, European Law and Comparative Metodology, University of Palermo

**Dr Alessandra Pera**, Associate Professor of Comparative Law, University of Palermo, Department of European Studies and International Integration

**Dr Salvatore Casabona**, Associate Professor of Comparative Law, University of Palermo

16:00

Tea

**PLENARY WORKSHOP XX – 16:15-17:15**

**Fighting Corruption – Chinese Style!**

**Dr Lin Cheng** President of Chinese People's Public Security University, People's Republic of China

**Professor Shiquan Wang**, President of Chinese Criminal Police University, People's Republic of China

**Dr Jinqi Li**, President, Liaoning Police Academy, People's Republic of China

**Dr Haiyang Jia** Director of Law School, Shenyang Normal University, People's Republic of China

**Dr Li Xuebin**, Probation Officer, Ministry of Justice, UK and former Public Security Bureau, People's Republic of China

**Mr Bai Feng**, Lecturer, Criminal Investigation Department, Chinese Criminal Police University, People's Republic of China

**Ms Li-Hong Xing**, Lecturer in Chinese Business Law, BPP University College of Professional Studies, London, UK

**Dr Bian Jing**, Senior Teaching Fellow, School of Oriental and African Studies, University of London and formerly of the Justice Department, People's Republic of China

**PLENARY WORKSHOP XXI – 16:15-17:15**

**Money Laundering in International Trade**

**Mr Steven Schrank**, Supervisory Special Agent, Homeland Security Investigations, USA

**Ms Donna Luisi**, Supervisory Criminal Research Specialist, New York High Intensity Money Laundering and Related Crime Area, USA

**Mr Robert Targ**, Member of the Board of Directors, Florida International Banking Association and Partner, Diaz Reus & Targ LLP, Miami, USA

**M Clark Abrams** Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

**PLENARY WORKSHOP XXII – 16:15-17:15**

**Fannie Mae and Freddie Mac: Turning the American Dream into a Nightmare**

**Dr Oonagh McDonald**, former Political Private Secretary to the Chief Secretary to the Treasury, Research Fellow, Nuffield College, Oxford, Board Member of the Securities and Investment Board and Financial Services Authority, UK

<p align="center"><b><u>WORKSHOP 25 – 17:30-18:30</u></b></p> <p align="center"><b>The macro-economic impact of money-laundering and its associated predicate crimes</b></p> <p align="center"><b>Mr Paul Ashin</b>, Legal Department, International Monetary Fund, USA</p> <p align="center"><b>Dr Concepcion Verdugo</b>, Economist, International Monetary Fund, USA</p>	<p align="center"><b><u>WORKSHOP 26 – 17:30-18:30</u></b></p> <p align="center"><b>The interaction between the legitimate financial sector and the perceived underground world of Informal Value Transfer Systems (IVTS)</b></p> <p align="center"><b>Mr Dave Copley</b>, Organised Crime and Money Laundering Investigator, UK and formerly Serious Organised Crime Agency, UK</p> <p align="center"><b>Dr Henry Duggan</b>, Manchester Business School, The University of Manchester, UK</p>
<p align="center"><b><u>WORKSHOP 27 – 17:30-18:30</u></b></p> <p align="center"><b>The impact of technology on fraud perpetration and investigation - a focussed study</b></p> <p align="center"><b>Mr Rod Diaz</b>, Superintendent, Commercial Crime Bureau, Hong Kong Police, Hong Kong</p>	<p align="center"><b><u>WORKSHOP 28 – 17:30-18:30</u></b></p> <p align="center"><b>Risk Assessment and Management of PEP accounts - implementing an effective, proportionate and targeted framework</b></p> <p align="center"><b>Dr Justine Walker</b>, Director Financial Crime (Sanctions and Bribery), British Bankers Association</p> <p align="center"><b>Ms Jennifer Fowler</b>, Senior Advisor to the under Secretary, Office of Terrorism and Financial Intelligence, Department of the Treasury, USA</p>
<p align="center"><b><u>WORKSHOP 29 – 17:30-18:30</u></b></p> <p align="center"><b>Policy v. Reality</b></p> <p><b>Mr Julian Korek</b>, Founding Partner Kinetic Partners LLP,  <b>Mr Nick Matthews</b>, Member, Kinetic Partners LLP, UK  <b>Mr Matt Haddow</b> Consultant, Kinetic Partners LLP, UK  <b>Mr Andrew Oldland QC</b>, Partner, Michelmores Solicitors, UK</p>	<p align="center"><b><u>WORKSHOP 30 – 17:30-18:30</u></b></p> <p align="center"><b>Practical Issues in Compliance</b></p> <p><b>Professor Stuart Bazley</b>, Barrister, Consultant and Lecturer in Law, BPP University College of Professional Studies, London, UK</p> <p><b>Mr Richard Parlour</b>, Principal, Financial Markets Law International, UK</p>
<p align="center"><b><u>WORKSHOP 31 – 17:30-18:30</u></b></p> <p align="center"><b>Tracing Libyan assets</b></p> <p align="center"><b>Ms Ann Marlowe</b>, Visiting Fellow, Hudson Institute, Washington, USA</p>	<p align="center"><b><u>WORKSHOP 32 – 17:30-18:30</u></b></p> <p align="center"><b>Auditing Government</b></p> <p align="center"><b>Mr John Maher III CPA</b>, Member and Speaker pro tempore, House of Representatives, Commonwealth of Pennsylvania, Vice Chairman, Centric Bank, USA</p>

**19:00**            **Cocktails** in the Marquee, Generously hosted by **Gibson Dunn and Crutcher LLP**

**Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **Rt Hon Lord Justice Mummery DL**, Lord Justice of Appeal, England and Wales, President of the Intelligence Services Tribunal and the Investigating Powers Tribunal and Honorary Fellow of Pembroke College Oxford UK and **Mr David Howarth**, Reader in Law and Economics, University of Cambridge, Fellow of Clare College and Associate Fellow, Centre for Science and Policy, Judge Business School, University of Cambridge and former Shadow Secretary of State for Justice for the Liberal Democrats introduced by **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple with a vote of thanks proposed by **Professor Peter Crisp**, Dean, Law School, BPP University College of Professional Studies, London, UK

**Saturday, 8<sup>th</sup> September 2012**

**8:00**            **Session XIV: Taking away the ill-gotton gains of criminals – novel approaches – bounty hunting and state assistance**

Chair: **Mr Roger Stewart QC**, Recorder of the Crown Court of England and Wales, and Master of the Bench of the Inner Temple, UK

- **The Hon Justice David Hayton**, The Caribbean Court of Justice, Trinidad and Tobago and sometime Fellow of Jesus College, Cambridge
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Paul Gully-Hart**, Partner, Schellenberg Wittmer, Switzerland
- **Ms Ann Marlowe**, Visiting Fellow, Hudson Institute, Washington, USA
- **Mr Alan Bacarese**, Special Counsel, Peters & Peters LLP, UK
- **Mr Paul Hauser**, Partner, Bryan Cave LLP, UK
- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for the United States Trustees, USA

- **Mr Dan Wise**, Partner and Head of Litigation, Martin Kenny & Co., Solicitors, British Virgin Islands
- **Dr Li Xuebin**, National Probation Service, Ministry of Justice, UK and former Public Security Bureau, People's Republic of China
- **Ms Kathryn Arnot Drummond**, Barrister, 25 Bedford Row, UK

**10:30** Coffee

**10:45** Session XV: The implications and risks for those in business – of minding other peoples' wealth

Chair: **Mr Robert Rhodes QC**, Recorder of the Crown Court of England and Wales, and Master of the Bench of the Inner Temple

- **Mr Philip Robinson**, Consultant, formerly Director of Financial Crime and Intelligence, Financial Services Authority and Non-Executive Director, Supreme Court of the United Kingdom
- **Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales and Master of the Bench of the Middle Temple, UK
- **Dr Siti Faridah**, Senior Lecturer in Law, National University of Malaysia, Kuala Lumpur
- **Mr Henry Rossbacher**, Partner, The Rossbacher Firm, Los Angeles, USA
- **Dr Nurset Cetin**, Attorney at Law, Deputy of Legal Affairs and Head of Institutional Relations Group, Capital Markets Board of Turkey
- **Dr Gerhard Oberholzer**, Managing Director, Cutwater Asset Management, London, UK
- **Ms Liz Ellen**, Counsel, Mischo de Reya Solicitors, London, UK
- **Mr Julian Korek**, Founding Partner Kinetic Partners LLP, UK
- **Dr Alessandro Napolitano**, Legal Director, SACE S.P.A, Italy
- **Mr Asim Nazir**, Senior Partner, Asim Nazir & Co, Pakistan
- **Ms Marty Rolle**, Partner, Bryan Cave LLP, UK
- **Professor Dalvinder Singh**, Professor of Law, School of Law, Warwick University, UK

**13:00** Lunch

**14:00** Session XVI: Star Gazing- the next 30 years!

Chair: **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP Law School, UK

- **Hon John Maher III CPA**, Member and Speaker pro tempore, House of Representatives, Commonwealth of Pennsylvania. Vice Chairman, Centric Bank, USA
- **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy
- **Professor Kiyomet Tunca Caliyurt**, Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakya University, Turkey
- **Professor Yasunobu Sato**, Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan
- **Mr Jyoti Trehan**, Inspector General of Police (retired), Indian Police Service
- **Professor Tian Hongji**, Director, Economic Criminal Research Institute, Law School, Renmin University, People's Republic of China and Judge of Doncheng District Court, People's Republic of China
- **Professor Andreas Kapardis**, Chairman, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Professor Janusz Bojarski**, Professor of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Mr Jeffrey Avina**, Director, Citizenship and Community Affairs, Microsoft Middle East and Africa
- **Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Ms Carol van Cleef**, Partner, Patten Boggs LLP, USA
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol
- **Mr David Chernick**, Managing Director, Treacl, UK and former Senior Manager, KPMG Forensic, UK
- **Mr Olasupo Shasore SAN**, Partner, Ajumogobia & Okeke, Nigeria

**16:00** Tea

## 16:15 Closing Addresses

Chair: **Mr Saul Froomkin QC**, Chairman of the Symposium

- **Mr Leonard McCarthy**, Vice President, Integrity, The World Bank, USA
- **Mr Mike Haley**, Director, National Fraud Authority, UK
- **The Hon Justice Asoka de Silva**, Senior Advisor to the President of Sri Lanka and former Chief Justice of Sri Lanka
- **Professor Peter Nolan**, The Chong Hua Professor in Chinese Development; Director of the Centre of Development Studies, Director of the Chinese Executive Leadership Programme, University of Cambridge and Professorial Fellow, Jesus College, Cambridge
- **Dr Scott Helfstein**, Director of Research, Combating Terrorism Center, Department of Social Sciences, United States Military Academy, West Point, USA
- **Mr Rodney Page**, Senior Managing Partner, London and Washington, Bryan Cave LLP
- **Professor John Maher**, Sometime Dean of Dickinson Law School, Pennsylvania State University and former Commissioner of the Pennsylvania Securities Commission, USA
- **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University and Professor of Law, BPP Law School, UK

### Closing Ceremony and concluding observations

**Professor B.A.K Rider**, Director, Founder and Co-Chairman of the Symposium

18:30 Cocktails in the Marquee, Generously hosted by **Bryan Cave LLP**

19:45 Dinner in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **Professor Ian White**, Master, Jesus College and Deputy Vice Chancellor of the University of Cambridge, **Mr John Maher III**, Member and Speaker pro tempore, House of Representatives, Commonwealth of Pennsylvania, Vice Chairman, Centric Bank, USA, **Sheriff Wendy Mead CC**, Sheriff of the City of London and **Mr Saul Froomkin QC**, Chairman of the Symposium introduced **Mr Alan Lambert**, Magistrate, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office, UK with votes of thanks proposed by **Professor Michael Waring**, Professorial Fellow, Jesus College, University of Cambridge and **Professor Barry Rider**

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, [www.crimesymposium.org](http://www.crimesymposium.org)

### Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

## **Principal Organising Institutions**

### **Jesus College, Cambridge and the University of Cambridge**

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-nine years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has just celebrated its 800th anniversary. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

### **The Centre for International Documentation on Economic and Organised Crime (CIDOEC)**

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

### **The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies**

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead PC, Lord of Appeal in Ordinary and Justice of the Supreme Court of the United Kingdom

### **The International Association of Anti-Corruption Authorities**

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

**A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme**

**For information on other CIDOEC programmes and  
*The Journal of Financial Crime* and *The Journal of Money Laundering Control*,  
Please contact**

**Professor Barry A.K. Rider**  
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Cambridge CB5 8BL, UK  
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**The 30th Cambridge International Symposium on  
Economic Crime**

**Economic Crime - Surviving the Fall –  
Myths and Realities**

**Sunday 2<sup>nd</sup> September - Sunday 9<sup>th</sup> September 2012  
Jesus College, Cambridge**

**For further information, please contact**

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Fax: +44 (0) 1223 872160**

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or visit  
[www.crimesymposium.org](http://www.crimesymposium.org)**